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12/05/01
J1059 U.S. PTO

Express Mail No. EL828015112US

Docket No. 22645-0732

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Fa-Long Luo
Appln. No.: New Application
Filing Date: Herewith
Title: METHOD AND APPARATUS FOR AN ADAPTIVE BINAURAL BEAMFORMING SYSTEM

J1050 U.S. PTO
10/006086
12/05/01

UTILITY PATENT APPLICATION & FEE TRANSMITTAL
(for nonprovisional applications under 37 CFR § 1.53(b))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10

I, Pam Pascual, hereby certify that this paper and all enclosures are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on December 5, 2001 Express Mail Label No. EL828015112US and is addressed to the Commissioner for Patents, P.O. Box 237, Arlington, VA 22202-0327.

Pam Pascual

Signature of Person Mailing

Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

| | |
|--|---|
| I. Elements of the Application | |
| <input checked="" type="checkbox"/> Application | [1] page(s) of Cover Sheet [16] page(s) of Specification [6] page(s) of Claims (20 claims) [1] page(s) of Abstract [3] sheets of Drawings [] Formal [X] Informal |
| <input checked="" type="checkbox"/> Declaration [2] page(s) | [] Unexecuted [X] Executed [] Copy from prior application (37CFR § 1.63(d)) |
| <input type="checkbox"/> Deletion of Inventors: | [] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b)) |
| <input type="checkbox"/> Request and Certification Under 35U.S.C. 122(b)(2)(B)(i) | |
| <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission: | [] page(s) of Sequence Listing (paper copy) [] disk(s) containing Sequence Listing (computer readable copy) [] page(s) of Statement Under 37 CFR 1.821(f) |
| <input type="checkbox"/> Microfiche Computer Program Appendix | |
| II. Claim for U.S. Priority | |
| <input checked="" type="checkbox"/> This application is a | <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-Part of Prior Application No. <u>09/593,266</u> filed <u>June 13, 2000</u> , (35 USC §120) |
| <input type="checkbox"/> This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial No. 60/_____ filed _____. | (Provisional Application) |
| <input type="checkbox"/> The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety. | |
| III. Claim for Foreign Priority | |
| <input type="checkbox"/> This application claims the benefit under 35 USC §119 of Prior Application No. _____ filed _____. | |
| <input type="checkbox"/> Certified Copy of Priority Document | [] page(s) |
| <input type="checkbox"/> English Translation of Priority Document | [] page(s) |

IV. Accompanying Documents

- ☒ Assignment Papers [1] page(s) of Recordation Cover Sheet [2] page(s) of Assignment
- ☐ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [] Verified Small Entity Statement was previously filed in Prior Application No. _____ on _____, _____.
- ☒ Information Disclosure Statement [1] page(s) of PTO-1449 [3] copies of IDS References
- ☐ A Preliminary Amendment [] page(s)
- ☐ A copy of a Petition for Extension of Time (_ mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☐ Other _____ (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

V. Fee Calculation

| <input type="checkbox"/> The following fees are submitted: | | | | | CALCULATIONS |
|--|----------------|-------------------------|--------------|-----------------|--------------|
| | | OTHER THAN SMALL ENTITY | SMALL ENTITY | \$ | |
| BASIC NATIONAL FILING FEE | | \$ 740.00 | \$ 370.00 | \$740.00 | |
| EXTRA CLAIMS FEE | | | | | |
| CLAIMS | # FILED | # EXTRA | RATE | RATE | |
| Total Claims | 20- 20 = | 0 | × \$18.00 | × \$ 9.00 | |
| Independent claims | 3- 3 = | 0 | × \$84.00 | × \$42.00 | |
| MULTIPLE DEPENDENT CLAIM(S) | | | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | \$280.00 | \$140.00 | \$0.00 | |
| Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31). | | | | | |
| 1 (Numbers of Applications) × \$40.00 | | | | \$40.00 | |
| OTHER FEES _____ (specify) | | | | \$0.00 | |
| TOTAL FEES = | | | | \$780.00 | |

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 50-1193, Docket No. 22645-0732, in the amount of **\$780.00** to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, Docket No. 22645-0732
A duplicate copy of this sheet is enclosed

SEND ALL CORRESPONDENCE TO:

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 SIGNATURE

12/5/01
 DATE

David G. Beck
 NAME

37,776
 REGISTRATION NUMBER